PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PC							
(51) International Patent Classification ⁶ : C07K 19/00 // 14/52, 14/55, 14/705, 14/73 A1	(11) International Publication Number: WO 99/43713 (43) International Publication Date: 2 September 1999 (02.09.99)						
(21) International Application Number: PCT/US99/0396 (22) International Filing Date: 24 February 1999 (24.02.99) (30) Priority Data: 60/075,887 25 February 1998 (25.02.98) U (71) Applicant: LEXIGEN PHARMACEUTICALS CORPORATION [US/US]; 125 Hartwell Avenue, Lexington, Management (1998).	BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, IP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF,						
 (72) Inventors: GILLIES, Stephen, D.; 159 Sunset Road, Carlisle MA 01741 (US). LO, Kin-Ming; 6 Carol Lane, Lexington MA 02173 (US). LAN, Yan; 21 Newton Street, Belmon MA 02178 (US). WESOLOWSKI, John; 97 Liberty Be Circle, Weymouth, MA 02189 (US). (74) Agent: WALLER, Patrick, R., H.; Testa, Hurwitz & Thibeaul 	n, With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.						

(54) Title: ENHANCING THE CIRCULATING HALF-LIFE OF ANTIBODY-BASED FUSION PROTEINS

L.L.P., High Street Tower, 125 High Street, Boston, MA

(57) Abstract

02110 (US).

Disclosed are methods for the genetic construction and expression of antibody-based fusion proteins with enhanced circulating half-lives. The fusion proteins of the present invention lack the ability to bind to immunoglobulin Fc receptors, either as a consequence of the antibody isotype used for fusion protein construction, or through directed mutagenesis of antibody isotypes that normally bind Fc receptors. The fusion proteins of the present invention may also contain a functional domain capable of binding an immunoglobulin protection receptor.

> ATTORNEY DOCKET NUMBER: 10271-027-999 SERIAL NUMBER: 10/020,354

REFERENCE: AS

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	· TD	Chad
	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BA	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BB		GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BE	Belgium Burkina Faso	GR	Greece	******	Republic of Macedonia	TR	Turkey
BF		HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BG	Bulgaria	IE	Ireland	MN	Mongolia	ŪA	Ukraine
BJ	Benin	IL	Israel	MR	Mauritania	UG	Uganda
BR	Brazil		Iceland	MW	Malawi	US	United States of America
BY	Belarus	IS.		MX	Mexico	UZ	Uzbekistan
CA	Canada	IT	Italy -	NE	Niger	VN	Viet Nam
CF	Central African Republic	JP	Japan		Netherlands	YU	Yugoslavia
CG	Congo .	KE	Kenya	NL	-	zw	Zimbabwe
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	211	Zimom we
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	ΚZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		•
EE	Estonia	LR	Liberia	SG	Singapore		

ENHANCING THE CIRCULATING HALF-LIFE OF ANTIBODY-BASED FUSION PROTEINS

Cross Reference to Related Application

This incorporates by reference, and claims priority to and the benefit of, U.S. Provisional Patent Application Serial Number 60/075,887 which was filed on February 25, 1998.

Field of the Invention

The present invention relates generally to fusion proteins. More specifically, the present invention relates to methods of enhancing the circulating half-life of antibody-based fusion proteins.

10

15

20

25

Background of the Invention

The use of antibodies for treatment human disease is well established and has become more sophisticated with the introduction of genetic engineering. Several techniques have been developed to improve the utility of antibodies. These include: (1) the generation of monoclonal antibodies by cell fusion to create "hyridomas", or by molecular cloning of antibody heavy (H) and light (L) chains from antibody-producing cells; (2) the conjugation of other molecules to antibodies to deliver them to preferred sites *in vivo*, *e.g.*, radioisotopes, toxic drugs, protein toxins, and cytokines; (3) the manipulation of antibody effector functions to enhance or diminish biological activity; (4) the joining of other protein such as toxins and cytokines with antibodies at the genetic level to produce antibody-based fusion proteins; and (5) the joining of one or more sets of antibody combining regions at the genetic level to produce bi-specific antibodies.

When proteins are joined together through either chemical or genetic manipulation, it is often difficult to predict what properties that the end product will retain from the parent molecules. With chemical conjugation, the joining process may occur at different sites on the molecules, and generally results in molecules with varying degrees of modification that can affect the function of one or both proteins. The use of genetic fusions, on the other hand, makes the joining process more consistent, and results in the production of consistent end products that retain the function of both component proteins. *See*, for example, Gillies *et al.*, PROC. NATL. ACAD. Sci. USA 89: 1428-1432 (1992); and U.S. Patent No. 5,650,150.

- 2 -

However, the utility of recombinantly-produced antibody-based fusion proteins may be limited by their rapid *in vivo* clearance from the circulation. Antibody-cytokine fusion proteins, for example, have been shown to have a significantly lower *in vivo* circulating half-life than the free antibody. When testing a variety of antibody-cytokine fusion proteins, Gillies *et al.* reported that all of the fusion proteins tested had an α phase (distribution phase) half-life of less than 1.5 hour. Indeed, most of the antibody-based fusion protein were cleared to 10% of the serum concentration of the free antibody by two hours. *See*, Gillies *et al.*, BIOCONJ. CHEM. *4*: 230-235 (1993). Therefore, there is a need in the art for methods of enhancing the *in vivo* circulating half-life of antibody-based fusion proteins.

Summary of the Invention

10

20

25

30

A novel approach to enhancing the *in vivo* circulating half-life of antibody-based fusion proteins has now been discovered. Specifically, the present invention provides methods for the production of fusion proteins between an immunoglobulin with a reduced binding affinity for an Fc receptor, and a second non-immunoglobulin protein. Antibody-based fusion proteins with reduced binding affinity for Fc receptors have a significantly longer *in vivo* circulating half-life than the unlinked second non-immunoglobulin protein.

IgG molecules interact with three classes of Fc receptors (FcR) specific for the IgG class of antibody, namely FcyRI, FcyRII and FcyRIII. In preferred embodiments, the immunoglobulin (Ig) component of the fusion protein has at least a portion of the constant region of an IgG that has a reduced binding affinity for at least one of FcyRI, FcyRII or FcyRIII.

In one aspect of the invention, the binding affinity of fusion proteins for Fc receptors is reduced by using heavy chain isotypes as fusion partners that have reduced binding affinity for Fc receptors on cells. For example, both human IgG1 and IgG3 have been reported to bind to FcRyI with high affinity, while IgG4 binds 10-fold less well, and IgG2 does not bind at all. The important sequences for the binding of IgG to the Fc receptors have been reported to be located in the CH2 domain. Thus, in a preferred embodiment, an antibody-based fusion protein with enhanced *in vivo* circulating half-life is obtained by linking at least the CH2 domain of IgG2 or IgG4 to a second non-immunoglobulin protein.

In another aspect of the invention, the binding affinity of fusion proteins for Fc receptors is reduced by introducing a genetic modification of one or more amino acid in the constant

- 3 -

region of the IgG1 or IgG3 heavy chains that reduces the binding affinity of these isotypes for Fc receptors. Such modifications include alterations of residues necessary for contacting Fc receptors or altering others that affect the contacts between other heavy chain residues and Fc receptors through induced conformational changes. Thus, in a preferred embodiment, an antibody-based fusion protein with enhanced *in vivo* circulating half-life is obtained by first introducing a mutation, deletion, or insertion in the IgG1 constant region at one or more amino acid selected from Leu₂₃₄, Leu₂₃₅, Gly₂₃₆, Gly₂₃₇, Asn₂₉₇, and Pro₃₃₁, and then linking the resulting immunoglobulin, or portion thereof, to a second non-immunoglobulin protein. In an alternative preferred embodiment, the mutation, deletion, or insertion is introduced in the IgG3 constant region at one or more amino acid selected from Leu₂₈₁, Leu₂₈₂, Gly₂₈₃, Gly₂₈₄, Asn₃₄₄, and Pro₃₇₈, and the resulting immunoglobulin, or portion thereof, is linked to a second non-immunoglobulin protein. The resulting antibody-based fusion proteins have a longer *in vivo* circulating half-life than the unlinked second non-immunoglobulin protein.

5

10

15

20

25

In a preferred embodiment, the second non-immunoglobulin component of the fusion protein is a cytokine. The term "cytokine" is used herein to describe proteins, analogs thereof, and fragments thereof which are produced and excreted by a cell, and which elicit a specific response in a cell which has a receptor for that cytokine. Preferably, cytokines include interleukins such as interleukin-2 (IL-2), hematopoietic factors such as granulocyte-macrophage colony stimulating factor (GM-CSF), tumor necrosis factor (TNF) such as TNFα, and lymphokines such as lymphotoxin. Preferably, the antibody-cytokine fusion protein of the present invention displays cytokine biological activity.

In an alternative preferred embodiment, the second non-immunoglobulin component of the fusion protein is a ligand-binding protein with biological activity. Such ligand-binding proteins may, for example, (1) block receptor-ligand interactions at the cell surface; or (2) neutralize the biological activity of a molecule (e.g., a cytokine) in the fluid phase of the blood, thereby preventing it from reaching its cellular target. Preferably, ligand-binding proteins include CD4, CTLA-4, TNF receptors, or interleukin receptors such as the IL-1 and IL-4 receptors. Preferably, the antibody-receptor fusion protein of the present invention displays the biological activity of the ligand-binding protein.

10

15

20

25

In yet another alternative preferred embodiment, the second non-immunoglobulin component of the fusion protein is a protein toxin. Preferably, the antibody-toxin fusion protein of the present invention displays the toxicity activity of the protein toxin.

In a preferred embodiment, the antibody-based fusion protein comprises a variable region specific for a target antigen and a constant region linked through a peptide bond to a second non-immunoglobulin protein. The constant region may be the constant region normally associated with the variable region, or a different one, e.g., variable and constant regions from different species. The heavy chain can include a CH1, CH2, and/or CH3 domains. Also embraced within the term "fusion protein" are constructs having a binding domain comprising framework regions and variable regions (i.e., complementarity determining regions) from different species, such as are disclosed by Winter, et al., GB 2,188, 638. Antibody-based fusion proteins comprising a variable region preferably display antigen-binding specificity. In yet another preferred embodiment, the antibody-based fusion protein further comprises a light chain. The invention thus provides fusion proteins in which the antigen-binding specificity and activity of an antibody are combined with the potent biological activity of a second non-immunoglobulin protein, such as a cytokine. A fusion protein of the present invention can be used to deliver selectively the second non-immunoglobulin protein can exert a localized biological effect.

In an alternative preferred embodiment, the antibody-based fusion protein comprises a heavy chain constant region linked through a peptide bond to a second non-immunoglobulin protein, but does not comprise a heavy chain variable region. The invention thus further provides fusion proteins which retain the potent biological activity of a second non-immunoglobulin protein, but which lack the antigen-binding specificity and activity of an antibody.

In preferred embodiments, the antibody-based fusion proteins of the present invention further comprise sequences necessary for binding to Fc protection receptors (FcRp), such as beta-2 microglobulin-containing neonatal intestinal transport receptor (FcRn).

In preferred embodiments, the fusion protein comprises two chimeric chains comprising at least a portion of a heavy chain and a second, non-Ig protein are linked by a disulfide bond.

WO 99/43713

5

- 5 - -

PCT/US99/03966

The invention also features DNA constructs encoding the above-described fusion proteins, and cell lines, e.g., myelomas, transfected with these constructs.

These and other objects, along with advantages and features of the invention disclosed herein, will be made more apparent from the description, drawings, and claims that follow.

Brief Description of the Drawings

The foregoing and other objects, features, and advantages of the present invention, as well as the invention itself, may be more fully understood from the following description of preferred embodiments, when read together with the accompanying drawings, in which:

- FIG. 1 is a homology alignment of the amino acid sequences of the constant region of Cγ1 and Cγ3, aligned to maximize amino acid identity, and wherein non-conserved amino acids are identified by boxes;
 - FIG. 2 is a homology alignment of the amino acid sequences of constant region of $C\gamma 1$, $C\gamma 2$, and $C\gamma 4$, aligned to maximize amino acid identity, and wherein non-conserved amino acids are identified by boxes;
- FIG. 3 is a diagrammatic representation of a map of the genetic construct encoding an antibody-based fusion protein showing the relevant restriction sites;
 - FIG. 4 is a bar graph depicting the binding of antibody hu-KS-1/4 and antibody-based fusion proteins, hu-KSγ1-IL2 and hu-KSγ4-IL2, to Fc receptors on mouse J774 cells in the presence (solid bars) or absence (stippled bars) of an excess of mouse IgG;
- FIG. 5 is a line graph depicting the *in vivo* plasma concentration of total antibody (free antibody and fusion protein) of hu-KSγ1-IL2 (closed diamond) and hu-KSγ4-IL2 (closed triangle) and of intact fusion protein of hu-KSγ1-IL2 (open diamond) and hu-KSγ4-IL2 (open triangle) as a function of time;
- FIG. 6 is a diagrammatic representation of protocol for constructing an antibody-based fusion protein with a mutation that reduces the binding affinity to Fc receptors;
 - FIG. 7 is a line graph depicting the *in vivo* plasma concentration of intact fusion protein of hu-KSγ1-IL2 (0); mutated hu-KSγ1-IL2 (31) and hu-KSγ4-IL2 (Δ) as a function of time.

10

20

25

- 6 -

Detailed Description of the Invention

It has now been discovered that fusing a second protein, such as a cytokine, to an immunoglobulin may alter the antibody structure, resulting in an increase in binding affinity for one or more of the cell-bound Fc receptors and leading to a rapid clearance of the antibody-based fusion protein from the circulation. The present invention describes antibody-based fusion proteins with enhanced *in vivo* circulating half-lives and involves producing, through recombinant DNA technology, antibody-based fusion proteins with reduced binding affinity for one or more Fc receptor.

First, an antibody-based fusion protein with an enhanced *in vivo* circulating half-life can be obtained by constructing a fusion protein with isotypes having reduced binding affinity for a Fc receptor, and avoiding the use of sequences from antibody isotypes that bind to Fc receptors. For example, of the four known IgG isotypes, IgG1 (Cγ1) and IgG3 (Cγ3) are known to bind FcRγI with high affinity, whereas IgG4 (Cγ4) has a 10-fold lower binding affinity, and IgG2 (Cγ2) does not bind to FcRγI. Thus, an antibody-based fusion protein with reduced binding affinity for a Fc receptor could be obtained by constructing a fusion protein with a Cγ2 constant region (Fc region) or a Cγ4 Fc region, and avoiding constructs with a Cγ1 Fc region or a Cγ3 Fc region.

Second, an antibody-based fusion protein with an enhanced *in vivo* circulating half-life can be obtained by modifying sequences necessary for binding to Fc receptors in isotypes that have binding affinity for an Fc receptor, in order to reduce or eliminate binding. As mentioned above, IgG molecules interact with three classes of Fc receptors (FcR), namely FcγRI, FcγRII, and FcγRIII. Cγ1 and Cγ3 bind FcRγI with high affinity, whereas Cγ4 and Cγ2 have reduced or no binding affinity for FcRγI. A comparison of the Cγ1 and Cγ3 indicates that, with the exception of an extended hinge segment in Cγ3, the amino acid sequence homology between these two isotypes is very high. This is true even in those regions that have been shown to interact with the C1q fragment of complement and the various FcγR classes. FIG. 1 provides a alignment of the amino acid sequences of Cγ1 and Cγ3. The other two isotypes of human IgG (Cγ2 and Cγ4) have sequence differences which have been associated with FcR binding. FIG. 2 provides a alignment of the amino acid sequences of Cγ1, Cγ2, and Cγ4. The important

-7-

sequences for FcγR binding are Leu-Leu-Gly-Gly (residues 234 through 237 in Cγ1), located in the CH2 domain adjacent to the hinge. Canfield and Morrison, J. Exp. Med. 173: 1483-1491 (1991). These sequence motifs are conserved in Cγ1 and Cγ3, in agreement with their similar biological properties, and possibly related to the similarity of pharmacokinetic behavior when used to construct IL-2 fusion proteins. Many mutational analyses have been done to demonstrate the effect of specific mutations on FcR binding, including those in residues 234-237 as well as the hinge-proximal bend residue Pro₃₃₁ that is substituted by Ser in IgG4. Another important structural component necessary for effective FcR binding is the presence of an N-linked carbohydrate chain covalently bound to Asn₂₉₇. Enzymatic removal of this structure or mutation of the Asn residue effectively abolish, or at least dramatically reduce, binding to all classes of FcγR.

5

10

15

20

25

30

Brumbell et al. postulated the existence of a protection receptor (FcRp) that would slow the rate of catabolism of circulating antibodies by binding to the Fc portion of antibodies and, following their pinocytosis into cells, would redirect them back into the circulation. Brumbell et al., NATURE 203: 1352-1355 (1964). The beta-2 microglobulin-containing neonatal intestinal transport receptor (FcRn) has recently been identified as a FcRp. See, Junghans et al., PROC. NATL. ACAD. SCI. USA 93: 5512-5516 (1996). The sequences necessary for binding to this receptor are conserved in all four classes of human IgG and are located at the interface between the CH2 and CH3 domains. See, Medesan et al., J. IMMUNOL. 158: 2211-2217 (1997). These sequences have been reported to be important for the in vivo circulating half-life of antibodies. See, International PCT publication WO 97/34631. Thus, preferred antibody-based fusion proteins of the present invention will have the sequences necessary for binding to FcRp.

Methods for synthesizing useful embodiments of the invention are described, as well as assays useful for testing their pharmacokinetic activities, both *in vitro* and in pre-clinical *in vivo* animal models. The preferred gene construct encoding a chimeric chain includes, in 5' to 3' orientation, a DNA segment which encodes at least a portion of an immunoglobulin and DNA which encodes a second, non-immunoglobulin protein. An alternative preferred gene construct includes, in 5' to 3' orientation, a DNA segment which encodes a second, non-immunoglobulin protein and DNA which encodes at least a portion of an immunoglobulin. The fused gene is assembled in or inserted into an expression vector for transfection of the appropriate recipient cells where it is expressed.

15

20

25

The invention is illustrated further by the following non-limiting examples:

Example 1 Improving the *in vivo* circulating half-life of an antibody-IL2 fusion protein by class switching from Cy1 to Cy4 IgG constant regions.

According to the present invention, antibody-based fusion proteins with enhanced in vivo circulating half-lives can be obtained by constructing antibody-based fusion proteins using sequences from antibody isotypes that have reduced or no binding affinity for Fc receptors.

In order to assess whether the *in vivo* circulating half-life of the antibody-based fusion protein can be enhanced by using sequences from antibody isotypes with reduced or no binding affinity for Fc receptors, an antibody-IL2 fusion protein with a human Cγ1 constant region (Fc region) was compared to an antibody-IL2 fusion protein with a human Cγ4 Fc region.

1.1 Construction of antibody-IL2 fusion proteins with a Cy4 IgG constant region.

The construction of antibody-IL2 fusion proteins with a Cγ1 constant region has been described in the prior art. See, for example, Gillies et al., PROC. NATL. ACAD. SCI. USA 89: 1428-1432 (1992); and U.S. Patent No 5,650,150, the disclosure of which is incorporated herein by reference.

To construct antibody-IL2 fusion proteins with a Cγ4 constant region, a plasmid vector, capable of expressing a humanized antibody-IL2 fusion protein with variable (V) regions specific for a human pancarcinoma antigen (KSA) and the human Cγ1 heavy chain fused to human IL-2, was modified by removing the Cγ1 gene fragment and replacing it with the corresponding sequence from the human Cγ4 gene. A map of some of the relevant restriction sites and the site of insertion of the Cγ4 gene fragment is provided in FIG. 3. These plasmid constructs contain the cytomegalovirus (CMV) early promoter for transcription of the mRNA encoding the light (L) and heavy (H) chain variable (V) regions derived from the mouse antibody KS-1/4. The mouse V regions were humanized by standard methods and their encoding DNA sequences were chemically synthesized. A functional splice donor site was added at the end of each V region so that it could be used in vectors containing H and L chain constant region genes. The human Cκ light chain gene was inserted downstream of the cloning site for the VL gene and was followed by its endogenous 3' untranslated region and poly adenylation site. This transcription unit was followed by a second independent transcription unit for the heavy chain-IL2 fusion protein. It is

10

15

20

25

30

also driven by a CMV promoter. The VH encoding sequence was inserted upstream of the DNA encoding the Cγ heavy chain gene of choice, fused to human IL-2 encoding sequences. Such Cγ genes contain splice acceptor sites for the first heavy chain exon (CH1), just downstream from a unique Hind III common to all human Cγ genes. A 3' untranslated and polyadenylation site from SV40 virus was inserted at the end of the IL-2 encoding sequence. The remainder of the vector contained bacterial plasmid DNA necessary for propagation in *E. coli* and a selectable marker gene (dihydrofolate reductase - dhfr) for selection of transfectants of mammalian cells.

The swapping of the Cyl and Cy4 fragments was accomplished by digesting the original Cyl-containing plasmid DNA with Hind III and Xho I and purifying the large 7.8 kb fragment by agarose gel electrophoresis. A second plasmid DNA containing the Cy4 gene was digested with Hind III and Nsi I and the 1.75 kb fragment was purified. A third plasmid containing the human IL-2 cDNA and SV40 poly A site, fused to the carboxyl terminus of the human Cγ1 gene, was digested with Xho I and Nsi I and the small 470 bp fragment was purified. All three fragments were ligated together in roughly equal molar amounts and the ligation product was used to transform competent E. coli. The ligation product was used to transform competent E. coli and colonies were selected by growth on plates containing ampicillin. Correctly assembled recombinant plasmids were identified by restriction analyses of plasmid DNA preparations from isolated transformants and digestion with Fsp I was used to discriminate between the Cyl (no Fsp I) and Cy4 (one site) gene inserts. The final vector, containing the Cy4-IL2 heavy chain replacement, was introduced into mouse myeloma cells and transfectants were selected by growth in medium containing methotrexate (0.1 µM). Cell clones expressing high levels of the antibody-IL2 fusion protein were expanded and the fusion protein was purified from culture supernatants using protein A Sepharose chromatography. The purity and integrity of the Cy4 fusion protein was determined by SDS-polyacrylamide gel electrophoresis. IL-2 activity was measured in a T-cell proliferation assay and found to be identical to that of the Cyl construct.

1.2 Binding to Fc receptors by antibody and antibody-IL2 fusion proteins with Cyl and Cy4 IgG constant region.

Various mouse and human cell lines express one or more Fc receptor. For example, the mouse J774 macrophage-like cell line expresses FcRyl that is capable of binding mouse or human IgG of the appropriate subclasses. Likewise, the human K562 erythroleukemic cell line

10

15

20

25

expresses FcRyII but not FcRyI. In order to assess the potential contribution of Fc receptor binding to clearance of antibody-based fusion proteins from the circulation, the binding affinities of an antibody, a Cy1-IL2 fusion protein, and a Cy4-IL2 fusion protein for FcRyI were compared in the mouse J774 cell line.

The two antibody-IL-2 fusion proteins described in Example 1, hu-KSγ1-IL2 and hu-KSγ4-IL2, were diluted to 2 μg/ml in PBS containing 0.1% bovine serum albumin (BSA), together with 2x10⁵ J774 cells in a final volume of 0.2 ml. After incubation on ice for 20 min, a FITC-conjugated anti-human IgG Fc antibody (Fab₂) was added and incubation was continued for an additional 30 min. Unbound antibodies were removed by two washes with PBS-BSA, and the cells were analyzed in a fluorescence-activated cell sorter (FACS). Control reactions contained the same cells mixed with just the FITC-labeled secondary antibody or with the humanized KSγ1-antibody (without IL-2).

As expected, the binding of the Cy4-IL2 fusion protein to J774 cells was significantly lower than the binding of the Cy1-IL2 fusion protein. See FIG. 4. Unexpectedly, however, both the Cy1-IL2 and Cy4-IL2 fusion proteins had significantly higher binding to J774 cells than the KSy1 antibody (without IL-2). This suggests that fusing a second protein, such as a cytokine, to an immunoglobulin may alter the antibody structure, resulting in an increase in binding affinity for one or more of the cell-bound Fc receptors, thereby leading to a rapid clearance from the circulation.

In order to determine whether the greater binding observed with IL-2 fusion proteins was due to the presence of IL-2 receptors or FcRyI receptors on the cells, excess mouse IgG (mIgG) was used to compete the binding at the Fc receptors. As illustrated in FIG. 4, background levels of binding were observed with the antibody and both antibody-IL2 fusion proteins in the presence of a 50-fold molar excess of mIgG. This suggests that the increased signal binding of antibody-IL2 fusion proteins was due to increased binding to the Fc receptor.

Cell lines expressing Fc receptors are useful for testing the binding affinities of candidate fusion proteins to Fc receptors in order to identify antibody-based fusion proteins with enhanced in vivo half lives. Candidate antibody-based fusion proteins can be tested by the above-described methods. Candidate antibody-based fusion proteins with substantially reduced binding affinity

10

15

20

25

for an Fc receptor will be identified as antibody-based fusion proteins with enhanced in vivo half lives.

1.3 Measuring the circulating half-life of antibody-IL2 fusion proteins with Cyl and Cy4 IgG constant region.

In order to assess whether using the Fc region of an IgG isotype having reduced affinity for Fc receptors will enhance the *in vivo* circulating half-life, fusion proteins containing the Cγl isotype heavy chain (*i.e.*, hu-KSγl-IL2) were compared to fusion proteins containing the Cγ4 isotype heavy chain (*i.e.*, hu-KSγ4-IL2).

Purified humanized KS-1/4-IL2 fusion proteins containing either the Cy1 or Cy4 isotype heavy chain were buffer-exchanged by diafiltration into phosphate buffered saline (PBS) and diluted further to a concentration of \sim 100 μ g/ml. Approximately 20 μ g of the antibody-based fusion protein (0.2 ml) was injected into 6-8 week old Balb/c mice in the tail vein using a slow push. Four mice were injected per group. At various time points, small blood samples were taken by retro-orbital bleeding from anaesthetized animals and collected in tubes containing citrate buffer to prevent clotting. Cells were removed by centrifugation in an Eppendorf high-speed tabletop centrifuge for 5 min. The plasma was removed with a micropipettor and frozen at -70°C. The concentration of human antibody determinants in the mouse blood was measured by ELISA. A capture antibody specific for human H and L antibody chains was used for capture of the fusion proteins from the diluted plasma samples. After a two hour incubation in antibody-coated 96-well plates, the unbound material was removed by three washes with ELISA buffer (0.01% Tween 80 in PBS). A second incubation step used either an anti-human Fc antibody (for detection of both antibody and intact fusion protein), or an anti-human IL-2 antibody (for detection of only the intact fusion protein). Both antibodies were conjugated to horse radish peroxidase (HRP). After a one hour incubation, the unbound detecting antibody was removed by washing with ELISA buffer and the amount of bound HPR was determined by incubation with substrate and measuring in a spectrophotometer.

As depicted in FIG. 5, the α phase half-life of the hu-KS γ 4-IL2 fusion protein was significantly longer than the α phase half-life of the hu-KS γ 1-IL2 fusion protein. The increased half-life is best exemplified by the significantly higher concentrations of the hu-KS γ 4-IL2 fusion

- 12 -

protein (3.3 μg/ml) compared to the hu-KSγ1-IL2 fusion protein (60 ng/ml) found in mice after 24 hours.

5

10

15

20

25

The hu-KSγ1-IL2 protein had a rapid distribution (α) phase followed by a slower catabolic (β) phase, as reported earlier for the chimeric 14.18-IL2 fusion protein. *See*, Gillies *et al.*, BIOCONJ. CHEM. 4: 230-235 (1993). In the Gillies *et al.* study, only antibody determinants were measured, so it was not clear if the clearance represented the clearance of the intact fusion protein or the clearance of the antibody component of the fusion protein. In the present Example, samples were assayed using both (1) an antibody-specific ELISA, and (2) a fusion protein-specific ELISA (*i.e.*, an ELISA that requires that both the antibody and IL-2 components be physically linked). As illustrated in FIG. 5, in animals injected with the hu-KSγ1-IL2 fusion protein, the amount of circulating fusion protein was lower than the total amount of circulating antibody, especially at the 24 hr time point. This suggests that the fusion protein is being proteolytically cleaved *in vivo* and that the released antibody continues to circulate. Surprisingly, in animals injected with the hu-KSγ4-IL2 fusion protein, there was no significant differences between the amount of circulating fusion protein and the total amount of circulating antibody. This suggests the hu-KSγ4-IL2 fusion protein was not being proteolytically cleaved in these animals during the 24 hour period measured.

As discussed above, Cγ1 and Cγ3 have binding affinity for Fc receptors, whereas while Cγ4 has reduced binding affinity and Cγ2 has no binding affinity for Fc receptors. The present Example described methods for producing antibody-based fusion proteins using the Cγ4 Fc region, an IgG isotype having reduced affinity for Fc receptors, and established that such antibody-based fusion proteins have enhanced *in vivo* circulating half-life. Accordingly, a skilled artisan can use these methods to produce antibody-based fusion proteins with the Cγ2 Fc region, instead of the Cγ4 Fc region, in order to enhance the circulating half-life of fusion proteins. A Hu-KS-IL2 fusion protein utilizing the human Cγ2 region can be constructed using the same restriction fragment replacement and the above-described methods for Cγ4-IL2 fusion protein. and tested using the methods described herein to demonstrate increased circulating half-life. Antibody-based fusion proteins with the Cγ2 Fc region, or any other Fc region having reduced binding affinity or lacking binding affinity for a Fc receptor will have enhanced *in vivo*

- 13 -

circulating half-life compared to antibody-based fusion proteins having binding affinity for a Fc receptor.

Example 2 Mutating the human $C\gamma 1$ or $C\gamma 3$ gene in antibody-based fusion protein constructs to improve their *in vivo* circulating half-life.

5

10

15

20

25

30

IgG molecules interact with several molecules in the circulation, including members of the complement system of proteins (e.g., C1q fragment), as well as the three classes of FcR. The important residues for C1q binding are residues Glu₃₁₈, Lys₃₂₀, and Lys₃₂₂ which are located in the CH2 domains of human heavy chains. Tao et al., J. Exp. MED. 178: 661-667 (1993). In order to discriminate between FcR and C1q binding as mechanisms for rapid clearance, we substituted the more drastically altered C γ 2 hinge-proximal segment into the C γ 1 heavy chain. This mutation is expected to affect FcR binding but not complement fixation.

The mutation was achieved by cloning and adapting the small region between the hinge and the beginning of the CH2 exon of the germ line Cy1 gene using overlapping polymerase chain reactions (PCR). The PCR primers were designed to substitute the new sequence at the junction of two adjacent PCR fragments spanning a Pst I to Drd I fragment (see FIG. 6). In the first step, two separate PCR reactions with primers 1 and 2 (SEQ ID NOS: 5 and 6, respectively), or primers 3 and 4 (SEQ ID NOS: 7 and 8, respectively), were prepared using the Cy1 gene as the template. The cycle conditions for the primary PCR were 35 cycles of: 94°C for 45 sec, annealing at 48°C for 45 seconds, and primer extension at 72°C for 45 sec. The products of each PCR reaction were used as template for the second, joining reaction step. One tenth of each primary reaction was mixed together and combined with primers 1 and 4 to amplify only the combined product of the two initial PCR products. The conditions for the secondary PCR were: 94°C for 1 min, annealing at 51°C for 1 min, and primer extension at 72°C for 1 min. Joining occurs as a result of the overlapping between the two individual fragments which pairs with the end of the other, following denaturation and annealing. The fragments that form hybrids get extended by the Taq polymerase, and the complete, mutated product was selectively amplified by the priming of the outer primers, as shown in FIG. 6. The final PCR product was cloned in a plasmid vector and its sequence verified by DNA sequence analysis.

The assembly of the mutated gene was done in multiple steps. In the first step, a cloning vector containing the human Cyl gene was digested with Pst I and Xho I to remove the

- 14 -

non-mutated hinge-CH2-CH3 coding sequences. A Drd I to Xho I fragment encoding part of CH2, all of CH3 and the fused human IL-2 coding sequences was prepared from the Cγ1-IL2 vector, described above. A third fragment was prepared from the subcloned PCR product by digestion with Pst I and Drd I. All three fragments were purified by agarose gel electrophoresis and ligated together in a single reaction mixture. The ligation product was used to transform competent *E. coli* and colonies were selected by growth on plates containing ampicillin. Correctly assembled recombinant plasmids were identified by restriction analyses of plasmid DNA preparations from isolated transformants and mutated genes were confirmed by DNA sequence analysis. The Hind III to Xho I fragment from the mutated Cγ1-IL2 gene was used to reassemble the complete hu-KS antibody-IL2 fusion protein expression vector.

5

10

15

20

25

30

In order to assess the enhancement of the *in vivo* circulating half-life induced by a mutation of an important amino acid for FcR binding, and to discriminate between FcR and C1q binding as mechanisms for rapid clearance, the *in vivo* plasma concentration of the mutated hu-KSy1-IL2 was compared to the plasma concentration of hu-KSy1-IL2 at various specified times. As illustrated in FIG. 7, the *in vivo* clearance rates of the mutated hu-KSy1-IL2 and hu-KSy4-IL2 were significantly lower than the clearance rate of hu-KSy1-IL2. These results suggests that an antibody-based fusion protein with enhanced *in vivo* circulating half-life can be obtained by modifying sequences necessary for binding to Fc receptors in isotypes that have binding affinity for an Fc receptor. Further, the results suggests that the mechanisms for rapid clearance involve FcR binding rather than C1q binding.

The skilled artisan will understand, from the teachings of the present invention, that several other mutations to the Cy1 or Cy3 genes can be introduced in order to reduce binding to FcR and enhance the *in vivo* circulating half-life of an antibody-based fusion protein. Moreover, mutations can also be introduced into the Cy4 gene in order to further reduce the binding of Cy4 fusion proteins to FcR. For example, additional possible mutations include mutations in the hinge proximal amino acid residues, mutating Pro₃₃₁, or by mutating the single N-linked glycosylation site in all IgG Fc regions. The latter is located at Asn₂₉₇ as part of the canonical sequence: Asn–X–Thr/Ser, where the second position can be any amino acid (with the possible exception of Pro), and the third position is either Thr or Ser. A conservative mutation to the amino acid Gln, for example, would have little effect on the protein but would prevent the

- 15 -

attachment of any carbohydrate side chain. A strategy for mutating this residue might follow the general procedure, just described, for the hinge proximal region. Methods for generating point mutations in cloned DNA sequences are well established in the art and commercial kits are available from several vendors for this purpose.

5 Example 3 Increasing the circulating half-life of receptor-antibody-based fusion proteins.

10

15

Several references have reported that the Fc portion of human IgG can serve as a useful carrier for many ligand-binding proteins, or receptors, with biological activity. Some of these ligand-binding proteins have been fused to the N-terminal of the Fc portion of an Ig, such as CD4, CTLA-4, and TNF receptors. See, for example, Capon et al., NATURE 337: 525-531 (1989); Linsley et al., J. Exp. MED. 174: 561-569 (1991); Wooley et al., J. IMMUNOL. 151: 6602-6607 (1993). Increasing the circulating half-life of receptor-antibody-based fusion proteins may permit the ligand-binding protein partner (i.e., the second non-Ig protein) to more effectively (1) block receptor-ligand interactions at the cell surface; or (2) neutralize the biological activity of a molecule (e.g., a cytokine) in the fluid phase of the blood, thereby preventing it from reaching its cellular target. In order to assess whether reducing the ability of receptor-antibody-based fusion proteins to bind to IgG receptors will enhance their in vivo circulating half-life, receptor-antibody-based fusion proteins with human Cy1 Fc regions are compared to antibody-based fusion proteins with human Cy4 Fc regions.

To construct CD4-antibody-based fusion proteins, the ectodomain of the human CD4 cell surface receptor is cloned using PCR from human peripheral blood monocytic cells (PBMC). The cloned CD4 receptor includes compatible restriction sites and splice donor sites described in Example 1. The expression vector contains a unique Xba I cloning site downstream of the CMV early promoter, and the human Cγ1 or Cγ4 gene downstream of their endogenous Hind III site.

The remainder of the plasmid contains bacterial genetic information for propagation in *E. coli*, as well as a dhfr selectable marker gene. Ligated DNAs are used to transform competent bacteria and recombinant plasmids are identified from restriction analyses from individual bacterial colonies. Two plasmid DNA constructs are obtained: CD4-Cγ1 and CD4-Cγ4.

The expression plasmids are used to transfect mouse myeloma cells by electroporation and transfectants are selected by growth in culture medium containing methotrexate (0.1 µM).

PCT/US99/03966

- 16 -

WO 99/43713

Transfectants expressing the fusion proteins are identified by ELISA analyses and are expanded in culture in order to generate fusion protein for purification by binding to and elution from protein A Sepharose. Purified proteins in chromatography elution buffer are diafiltered into PBS and diluted to a final concentration of 100 μg/ml. Balb/c mice are injected with 0.2 ml (20 μg) of either the CD4-Cγ1 or CD4-Cγ4 fusion protein and the pharmacokinetics are tested as described in Example 1.3. The CD4-Cγ4 fusion protein has a significantly greater half-life than the CD4-Cγ1 fusion protein.

Equivalents

The invention may be embodied in other specific forms without departing from the spirit or essential characteristics thereof. The foregoing embodiments are therefore to be considered in all respects illustrative rather than limiting on the invention described herein. Scope of the invention is thus indicated by the appended claims rather than by the foregoing description, and all changes which come within the meaning and range of equivalency of the claims are intended to be embraced therein.

What is claimed is:

- 1 An antibody-based fusion protein with an enhanced circulating half-life, comprising at
 2 least a portion of an immunoglobulin (Ig) heavy chain having substantially reduced
 3 binding affinity for an Fc receptor, said portion of heavy chain being linked to a second
 4 non-Ig protein, said antibody-based fusion protein having a longer circulating half-life in
 5 vivo than an unlinked second non-Ig protein.
- The antibody-based fusion protein of claim 1, wherein said portion of heavy chain comprises at least the CH2 domain of an IgG2 or IgG4 constant region.
- The antibody-based fusion protein of claim 1, wherein said portion of heavy chain comprises at least a portion of an IgG1 constant region having a mutation or a deletion at one or more amino acid selected from the group consisting of Leu₂₃₄, Leu₂₃₅, Gly₂₃₆, Gly₂₃₇, Asn₂₉₇, and Pro₃₃₁.
- The antibody-based fusion protein of claim 1, wherein said portion of heavy chain comprises at least a portion of an IgG3 constant region having a mutation or a deletion at one or more amino acid selected from the group consisting of Leu₂₈₁, Leu₂₈₂, Gly₂₈₃, Gly₂₈₄, Asn₃₄₄, and Pro₃₇₈.
- 1 5. The antibody-based fusion protein of claim 1, wherein said portion of heavy chain further 2 has binding affinity for an immunoglobulin protection receptor.
- The antibody-based fusion protein of claim 1, wherein said portion of heavy chain has
 substantially reduced binding affinity for a Fc receptor selected from the group consisting
 of FcγRI, FcγRII and FcγRIII.
- The antibody-based fusion protein of claim 1, wherein said second non-Ig protein is selected from the group consisting of a cytokine, a ligand-binding protein, and a protein toxin.
- 1 8. The antibody-based fusion protein of claim 1, wherein said cytokine is selected from the 2 group consisting of a tumor necrosis factor, an interleukin, and a lymphokine.
- The antibody-based fusion protein of claim 8, wherein said tumor necrosis factor is tumor necrosis factor alpha.
- 10. The antibody-based fusion protein of claim 8, wherein said interleukin is interleukin-2.

i 2	11.	The antibody-based fusion protein of claim 8, wherein said lymphokine is a lymphotoxin or a colony stimulating factor.
1	12.	The antibody-based fusion protein of claim 11, wherein said colony stimulating factor is a granulocyte-macrophage colony stimulating factor.
1 2 3	13.	The antibody-based fusion protein of claim 1, wherein said ligand-binding protein is selected from the group consisting of CD4, CTLA-4, TNF receptor, and an interleukin receptor.
1 2 3 4 5	14.	A method of increasing the circulating half-life of an antibody-based fusion protein, comprising the step of linking at least a portion of an Ig heavy chain to a second non-Ig protein, said portion of heavy chain having substantially reduced binding affinity for an Fc receptor, thereby forming an antibody-based fusion protein having a longer circulating half-life <i>in vivo</i> than an unlinked second non-Ig protein.
1 2	15.	The method of claim 14, wherein said portion of heavy chain comprises at least the CH2 domain of an IgG2 or IgG4 constant region.
1 2	16.	A method of increasing the circulating half-life of an antibody-based fusion protein, comprising the steps of:
3 4 5 6		introducing a mutation or a deletion at one or more amino acid of an IgGl constant region, said amino acid selected from the group consisting of Leu ₂₃₄ , Leu ₂₃₅ , Gly ₂₃₆ , Gly ₂₃₇ , Asn ₂₉₇ , and Pro ₃₃₁ , thereby producing an Ig heavy chain having substantially reduced binding affinity for an Fc receptor; and
7		(b) linking at least a portion of the heavy chain of step (a) to a second non-Ig protein
8		thereby forming an antibody-based fusion protein having a longer circulating half-life in vivo than an unlinked second non-Ig protein.
1 2	17.	A method of increasing the circulating half-life of an antibody-based fusion protein, comprising the steps of:
3		(a) introducing a mutation or a deletion at one or more amino acid of an IgG3 constant region, said amino acid selected from the group consisting of Leu ₂₈₁ , Leu ₂₈₂ , Gly ₂₈₃ , Gly ₂₈₄ , Asn ₃₄₄ , and Pro ₃₇₈ , thereby producing an Ig heavy chain
5		having substantially reduced binding affinity for an Fc receptor; and

- 19 -

7 8		(b) linking at least a portion of the Ig heavy chain of step (a) to a second non-Ig protein,
9 10		thereby forming an antibody-based fusion protein having a longer circulating half-life in vivo than an unlinked second non-Ig protein.
1 2	18.	The method of claim 14, 16 or 17, wherein said portion of heavy chain further has binding affinity for an immunoglobulin protection receptor.
1 2 3	19.	The method of claim 14, 16 or 17, wherein said portion of heavy chain has substantially reduced binding affinity for a Fc receptor selected from the group consisting of FcyRI, FcyRII and FcyRIII.
1 2	20.	The method of claim 14, 16 or 17, wherein said second non-Ig protein is selected from the group consisting of a cytokine, a ligand-binding protein, and a protein toxin.
1 2	21.	The method of claim 14, 16 or 17, wherein said cytokine is selected from the group consisting of a tumor necrosis factor, an interleukin, and a lymphokine.
1 2	22.	The method of claim 21, wherein said tumor necrosis factor is tumor necrosis factor alpha.
1	23.	The method of claim 21, wherein said interleukin is interleukin-2.
1 2	24.	The method of claim 21, wherein said lymphokine is a lymphotoxin or a colony stimulating factor.
1 2	25.	The antibody-based fusion protein of claim 24, wherein said colony stimulating factor is a granulocyte-macrophage colony stimulating factor.
1 2	26.	The method of claim 14, 16 or 17, wherein said ligand-binding protein is selected from the group consisting of CD4, CTLA-4, TNF receptor, and an interleukin receptor.

				1/9			
GC1/118_HUMAN GC3_HUMAN/118	GC1/118_HUMAN GC3_HUMAN/118	GC1/118_HUMAN GC3_HUMAN/118	GC1/118_HUMAN GC3_HUMAN/118	GC1/118_HUMAN GC3_HUMAN/118	GC1/118_HUMAN GC3_HUMAN/118	GC1/118_HUMAN GC3_HUMAN/118	GC1/118_HUMAN GC3_HUMAN/118
X X X X X X X X X X X X X X X X X X X	X X X X X X X X X X X X X X X X X X X	X X X X X X X X X X X X X X X X X X X	K G P S V F P L A P S S K S T S G G T A A L G C L V K D Y F P E P V T V S W N S K G P S V F P L A P C S R S T S G G T A A L G C L V K D Y F P E P V T V S W N S	I GALTSGVHTFPAVLQSSGLYSLSSVVTVPSSSLGTQTYIC I GALTSGVHTFPAVLQSSGLYSLSSVVTVPSSSLGTQTYTC	NVNHKPSNTKVDKKVE	PPCPRCPEPKSCDTPPCPRCPEPKSCDTTPPCPAPE	1 LLGGPSVFLFPPKPKDTLMISRTPEVTCVVVDVSHEDPEV 1 LLGGPSVFLFPPKPKDTLMISRTPEVTCVVVDVSHEDPEV
	44	<u>8</u> 8	121 121	161 161	201 201	217 241	23 <u>4</u> 281
		•			CA CA	CA CA	CUCU

FIG. 1A

FIG. 18

FIG. 1A

GC1/118_HUMAN	GC1/118_HUMAN	GC1/118_HUMAN	GC1/118_HUMAN	GC1/118_HUMAN	
GC3_HUMAN/118	GC3_HUMAN/118	GC3_HUMAN/118	GC3_HUMAN/118	GC3_HUMAN/118	
274 K F N W Y V D G V E V H N A K T K P R E E Q Y N S T Y R V V S V L T V L H Q D W	314 LNGKEYKCKVSNKALPAPIEKTISKAKGQPREPQVYTLPP	354 SRDELTKNQVSLTCLVKGFYPSDIAVEWESNGQPENNYKT	394 T P P V L D S D G S F F L Y S K L T V D K S R W Q Q G N V F S C S V M H E A L H	434 N H Y T Q K S L S L S P G K	
321 QF K W Y V D G V E V H N A K T K P R E E Q Y N S T F R V V S V L T V L H Q D W	361 LNGKEYKCKVSNKALPAPIEKTISKTKGQPREPQVYTLPP	401 SREEMTKNQVSLTCLVKGFYPSDIAVEWESSGQPENNYNT	441 T P P M L D S D G S F F L Y S K L T V D K S R W Q Q G N I F S C S V M H E A L H	481 N R E T Q K S L S L S P G K	

-1G. 1B



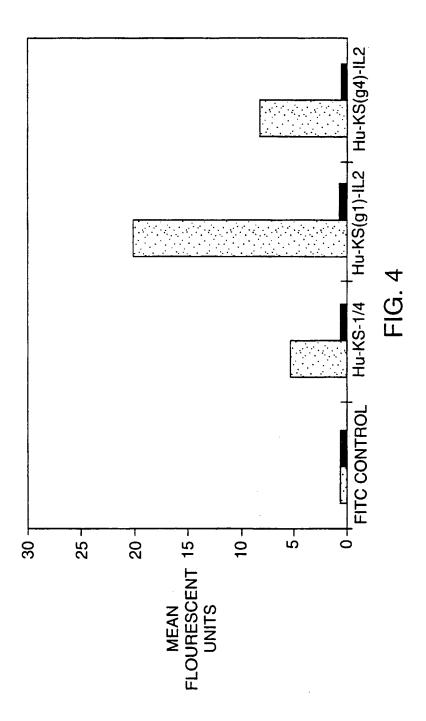
F1G.

	GC1/118_HUMAN GC2/118_HUMAN GC4/118_HUMAN	GC1/118_HUMAN GC2/118_HUMAN GC4/118_HUMAN	GC1/118_HUMAN GC2/118_HUMAN GC4/118_HUMAN	GC1/118_HUMAN GC2/118_HUMAN GC4/118_HUMAN	GC1/118_HUMAN GC2/118_HUMAN GC4/118_HUMAN	GC1/118_HUMAN GC2/118_HUMAN GC4/118_HUMAN
30 40	X X X X X X X X X X X X X X X X X X X	70	X X X X X X X X X X X X X X X X X X X	150 LVKDYFPEPVTVSWNS LVKDYFPEPVTVSWNS LVKDYFPEPVTVSWNS	V V T V P S S L G T Q T Y I C V V T V P S S N F G T Q T Y T C V V T V P S S S L G T K T Y T C	TCPPCPAPELLGGPSVECPPCPAPELLGGPSVPCPAPP-VAGPSVPCPAPFVAGPSV
υς	X X X X X X X X X X X X X X X X X X X	X X X X X X X X X X X X X X X X X X X	100 : X X X X X X X X X X X X X X X X X X X	1. 4	180 A V L Q S S G L Y S L S S A V L Q S S G L Y S L S S A V L Q S S G L Y S L S S	DKKVEPKSCDKTH DKTVERKCCV DKRVESKYGP
C	X X X X X X X X X X X X X X X X X X X	X X X X X X X X X X X X X X X X X X X	90 X X X X X X X X X X X X X X X X X X X	130 ELKGPSVFPLAPS ELKGPSVFPLAPC	170 ALTSGVHTFP ALTSGVHTFP	210 201 NVNHKPSNTKVI 201 NVDHKPSNTKVI 201 NVDHKPSNTKVI
ı		4 4 4	8 8 8			000

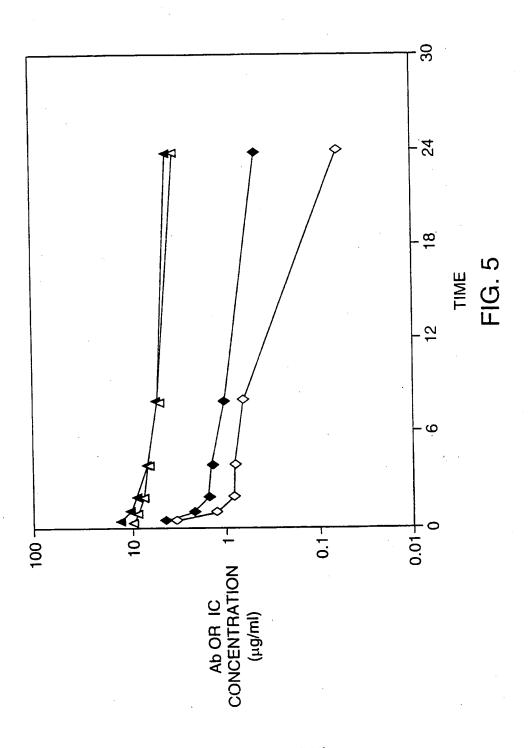
SUBSTITUTE SHEET (RULE 26)

			•			
0 280	PEVKFNWYVPEVQFNWYV	0 320 1 Q D W L N G K E Y K GC1/118_HUMAN 1 Q D W L N G K E Y K GC2/118_HUMAN 1 Q D W L N G K E Y K GC4/118_HUMAN	LPPSRDEL LPPSREEM LPPSQEEM	Y K T T P P V L I Y K T T P P V L I	O 400 A L H N H Y T Q K S GC1/118_HUMAN A L H N H Y T Q K S GC2/118_HUMAN A L H N H Y T Q K S GC4/118_HUMAN	GC1/118_HUMAN GC2/118_HUMAN GC4/118_HUMAN
270	HS A Q	310 S V L T V L H S V L T V V H S V L T V L H	350 REPOVYT REPOVYT	000 000 000	SCSVMHE SCSVMHE SCSVMHE	80 80
260	日 日 日 日 日 日 日 日	300 Y N S T Y R V V S F N S T F R V V S	0 0 0 0 0 0 0 0 0	H H H A A A A A A A A A A A A A A A A A	380 W Q Q G N V F W Q E G N V F	<u> </u>
250	P P K P K D P K D F K P K D F K P K D C D F K P K D C D C D C D C D C D C D C D C D C D	VHNAKTKPREEQ VHNAKTKPREEQ VHNAKTKPREEQ	SNKALPAPIEKT SNKGLPAPIEKT SNKGLPSSIEKT	SLTCLVKSLTCLVKSLTCLVK	370 FFLYSKLTVDKSRFFLYSKLTVDKSRFFLYSRLTVDKSR	1 S P G K K K K K K K K K K K K K K K K K K
	241 F L F 237 F L F 238 F L F	281 G V E 277 G V E 278 G V E	321 C K V 317 C K V 318 C K V	361 N Q V 357 N Q V 358 N Q V	401 D G S 397 D G S 398 D G S	441 L S 1 437 L S 1 438 L S 1

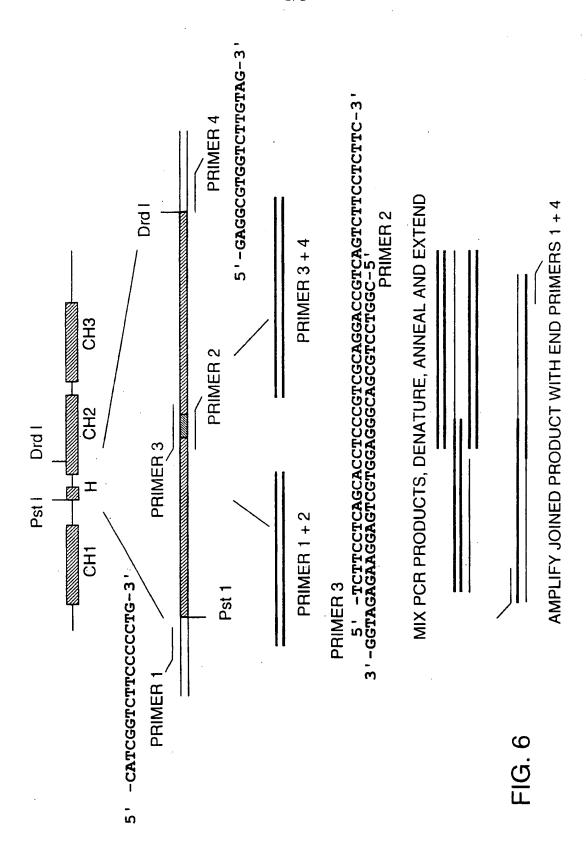
SUBSTITUTE SHEET (RULE 26)

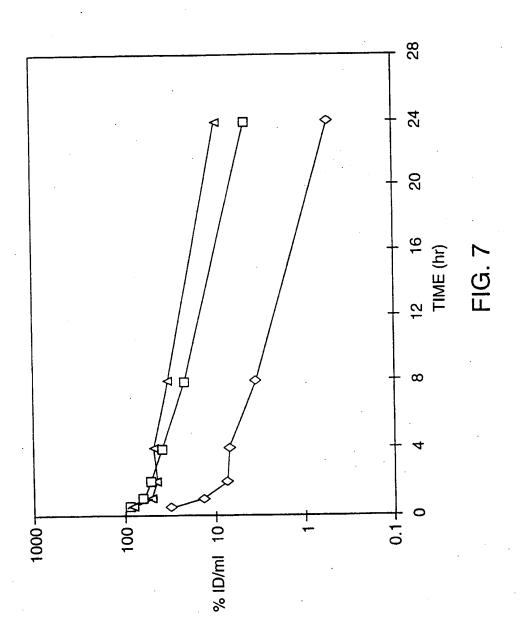


SUBSTITUTE SHEET (RULE 26)



SUBSTITUTE SHEET (RULE 26)





<110> GILLIES, Stephen D LO, Kin-Ming LAN, Yan WESOLOWSKI, John

<120> Enhancing the Circulating Half-life of Antibody-based Fusion Proteins

<130> LEX-003PC

<140> <141>

<150> US 60/075,887 <151> 1998-02-25

<160> 8

<170> PatentIn Ver. 2.0

<210> 1

<211> 447 <212> PRT

<213> Homo sapiens

<223> IGG-1 CHAIN C REGION

<400> 1

-1-

Xaa Xaa Xaa Xaa Ala Ser Thr Lys Gly Pro Ser Val Phe Pro Leu 115 120 125

Ala Pro Ser Ser Lys Ser Thr Ser Gly Gly Thr Ala Ala Leu Gly Cys 130 140

Leu Val Lys Asp Tyr Phe Pro Glu Pro Val Thr Val Ser Trp Asn Ser 145 150 160

Gly Ala Leu Thr Ser Gly Val His Thr Phe Pro Ala Val Leu Gln Ser

Ser Gly Leu Tyr Ser Leu Ser Ser Val Val Thr Val Pro Ser Ser Ser 180 185 190

Leu Gly Thr Gln Thr Tyr Ile Cys Asn Val Asn His Lys Pro Ser Asn 195 200 205

Thr Lys Val Asp Lys Lys Val Glu Pro Lys Ser Cys Asp Lys Thr His 210 215 220

Thr Cys Pro Pro Cys Pro Ala Pro Glu Leu Leu Gly Gly Pro Ser Val 225 230 235

Phe Leu Phe Pro Pro Lys Pro Lys Asp Thr Leu Met Ile Ser Arg Thr 245 250 255

Pro Glu Val Thr Cys Val Val Val Asp Val Ser His Glu Asp Pro Glu

Val Lys Phe Asn Trp Tyr Val Asp Gly Val Glu Val His Asn Ala Lys 275

Thr Lys Pro Arg Glu Glu Gln Tyr Asn Ser Thr Tyr Arg Val Val Ser Val Leu Thr Val Leu His Gln Asp Trp Leu Asn Gly Lys Glu Tyr Lys 320

Cys Lys Val Ser Asn Lys Ala Leu Pro Ala Pro Ile Glu Lys Thr Ile 335

Ser Lys Ala Lys Gly Gln Pro Arg Glu Pro Gln Val Tyr Thr Leu Pro 345

Pro Ser Arg Asp Glu Leu Thr Lys Asn Gln Val Tyr Thr Leu Pro 356

Val Lys Gly Phe Tyr Pro Ser Asp Ile Ala Val Glu Trp Glu Ser Asn 370

Asp Gly Ser Phe Phe Leu Tyr Ser Lys Leu Thr Val Asp Lys Ser Arg Asp Glu Fro Gln Gln Gln Gln Gly Asn Val Phe Ser Cys Ser Val Met His Glu Ala Leu His Asn His Tyr Thr Gln Lys Ser Leu Ser Pro Gly Lys His Asn His Tyr Thr Gln Lys Ser Leu Ser Pro Gly Lys 445

<210> 2 <211> 443 <212> PRT

<213> Homo sapiens

<220> <223> IGG-2 CHAIN C REGION

\(\frac{4400}{2} \) 2
 \(\text{Xaa} \) Xaa \(\text{Xaa} \)

85 90 95

Xaa Xaa Xaa Xaa Ala Ser Thr Lys Gly Pro Ser Val Phe Pro Leu 115 120 125

Ala Pro Cys Ser Arg Ser Thr Ser Glu Ser Thr Ala Ala Leu Gly Cys 130 135 140

Leu Val Lys Asp Tyr Phe Pro Glu Pro Val Thr Val Ser Trp Asn Ser 160

Gly Ala Leu Thr Ser Gly Val His Thr Phe Pro Ala Val Leu Gln Ser 165 170 175 Ser Gly Leu Tyr Ser Leu Ser Ser Val Val Thr Val Pro Ser Ser Asn 180 185 Phe Gly Thr Gln Thr Tyr Thr Cys Asn Val Asp His Lys Pro Ser Asn 195 200 205 Thr Lys Val Asp Lys Thr Val Glu Arg Lys Cys Cys Val Glu Cys Pro 210 215 Pro Cys Pro Ala Pro Pro Val Ala Gly Pro Ser Val Phe Leu Phe Pro 225 230 235 Pro Lys Pro Lys Asp Thr Leu Met Ile Ser Arg Thr Pro Glu Val Thr 245 250 255 Cys Val Val Val Asp Val Ser His Glu Asp Pro Glu Val Gln Phe Asn 260 265 270Trp Tyr Val Asp Gly Val Glu Val His Asn Ala Lys Thr Lys Pro Arg 275 280 285 Glu Glu Gln Phe Asn Ser Thr Phe Arg Val Val Ser Val Leu Thr Val 290 300 Val His Gln Asp Trp Leu Asn Gly Lys Glu Tyr Lys Cys Lys Val Ser 305 310 320 Asn Lys Gly Leu Pro Ala Pro Ile Glu Lys Thr Ile Ser Lys Thr Lys 325 330 335 Gly Gln Pro Arg Glu Pro Gln Val Tyr Thr Leu Pro Pro Ser Arg Glu 340 345 350 Glu Met Thr Lys Asn Gln Val Ser Leu Thr Cys Leu Val Lys Gly Phe 355 360 365 Tyr Pro Ser Asp Ile Ala Val Glu Trp Glu Ser Asn Gly Gln Pro Glu 370 380 Asn Asn Tyr Lys Thr Thr Pro Pro Met Leu Asp Ser Asp Gly Ser Phe 385 390 395 Phe Leu Tyr Ser Lys Leu Thr Val Asp Lys Ser Arg Trp Gln Gln Gly 405Asn Val Phe Ser Cys Ser Val Met His Glu Ala Leu His Asn His Tyr 420 425 430Thr Gln Lys Ser Leu Ser Leu Ser Pro Gly Lys

<210> 3 <211> 494 <212> PRT

<213> Homo sapiens

<220> <223> IGG-3 CHAIN C REGION

 X_{aa} X_{aa} XXaa Xaa Xaa Xaa Ala Ser Thr Lys Gly Pro Ser Val Phe Pro Leu 115 120 Ala Pro Cys Ser Arg Ser Thr Ser Gly Gly Thr Ala Ala Leu Gly Cys 130 140 Leu Val Lys Asp Tyr Phe Pro Glu Pro Val Thr Val Ser Trp Asn Ser 145 150 160 Gly Ala Leu Thr Ser Gly Val His Thr Phe Pro Ala Val Leu Gln Ser 165 170 175 Ser Gly Leu Tyr Ser Leu Ser Ser Val Val Thr Val Pro Ser Ser Ser 180 185 190 Leu Gly Thr Gln Thr Tyr Thr Cys Asn Val Asn His Lys Pro Ser Asn 195 200 205 Thr Lys Val Asp Lys Arg Val Glu Leu Lys Thr Pro Leu Gly Asp Thr 210 220 Thr His Thr Cys Pro Arg Cys Pro Glu Pro Lys Ser Cys Asp Thr Pro Pro Pro Cys Pro Arg Cys Pro Glu Pro Lys Ser Cys Asp Thr Pro Pro 255 Pro Cys Pro Arg Cys Pro Glu Pro Lys Ser Cys Asp Thr Pro Pro 260 265Cys Pro Arg Cys Pro Ala Pro Glu Leu Leu Gly Gly Pro Ser Val Phe 275 280 285 Leu Phe Pro Pro Lys Pro Lys Asp Thr Leu Met Ile Ser Arg Thr Pro 290 295 300 Glu Val Thr Cys Val Val Val Asp Val Ser His Glu Asp Pro Glu Val 305 310 320 Gln Phe Lys Trp Tyr Val Asp Gly Val Glu Val His Asn Ala Lys Thr Lys Pro Arg Glu Glu Gln Tyr Asn Ser Thr Phe Arg Val Val Ser Val 340 Lys Val Ser Asn Lys Ala Leu Pro Ala Pro Ile Glu Lys Thr Ile Ser 370 380 Lys Thr Lys Gly Gln Pro Arg Glu Pro Gln Val Tyr Thr Leu Pro Pro 385 390 395 Ser Arg Glu Glu Met Thr Lys Asn Gln Val Ser Leu Thr Cys Leu Val 405 415 Lys Gly Phe Tyr Pro Ser Asp Ile Ala Val Glu Trp Glu Ser Ser Gly 420 425 Gln Pro Glu Asn Asn Tyr Asn Thr Thr Pro Pro Met Leu Asp Ser Asp 435 446 Gly Ser Phe Phe Leu Tyr Ser Lys Leu Thr Val Asp Lys Ser Arg Trp 450 460Gln Gln Gly Asn Ile Phe Ser Cys Ser Val Met His Glu Ala Leu His 465 470 475 Asn Arg Phe Thr Gln Lys Ser Leu Ser Leu Ser Pro Gly Lys
485

<210> 4 <211> 444 <212> PRT <213> Homo sapiens <220> <223> IGG-4 CHAIN C REGION Xaa Xaa Xaa Xaa Ala Ser Thr Lys Gly Pro Ser Val Phe Pro Leu 115 120 Ala Pro Cys Ser Arg Ser Thr Ser Glu Ser Thr Ala Ala Leu Gly Cys 130 140 Leu Val Lys Asp Tyr Phe Pro Glu Pro Val Thr Val Ser Trp Asn Ser 145 150 155 Gly Ala Leu Thr Ser Gly Val His Thr Phe Pro Ala Val Leu Gln Ser 165 170 175Ser Gly Leu Tyr Ser Leu Ser Ser Val Val Thr Val Pro Ser Ser Ser 180 185 190 Leu Gly Thr Lys Thr Tyr Thr Cys Asn Val Asp His Lys Pro Ser Asn 195 200 205 Thr Lys Val Asp Lys Arg Val Glu Ser Lys Tyr Gly Pro Pro Cys Pro 210 215 Ser Cys Pro Ala Pro Glu Phe Leu Gly Gly Pro Ser Val Phe Leu Phe 225 230 Pro Pro Lys Pro Lys Asp Thr Leu Met Ile Ser Arg Thr Pro Glu Val 245 250 255 Thr Cys Val Val Val Asp Val Ser Gln Glu Asp Pro Glu Val Gln Phe 260 265 Asn Trp Tyr Val Asp Gly Val Glu Val His Asn Ala Lys Thr Lys Pro 275 285 Arg Glu Glu Gln Phe Asn Ser Thr Tyr Arg Val Val Ser Val Leu Thr 290 295 300 Val Leu His Gln Asp Trp Leu Asn Gly Lys Glu Tyr Lys Cys Lys Val 305 310 320 Ser Asn Lys Gly Leu Pro Ser Ser Ile Glu Lys Thr Ile Ser Lys Ala 325 330 335 Lys Gly Gln Pro Arg Glu Pro Gln Val Tyr Thr Leu Pro Pro Ser Gln 340 345

Glu	Glu	Met 355	Thr	Lys	Asn	Gln	Val 360	Ser	Leu	Thr	Cys	Leu 365	Val	Lys	Gly	
Phe	Tyr 370	Pro	Ser	Asp	Ile	Ala 375	Val	Glu	Trp	Glu	Ser 380	Asn	Gly	Gln	Pro	
Glu 385	Asn	Asn	Tyr	Lys	Thr 390	Thr	Pro	Pro	Val	Leu 395	Asp	Ser	Asp	Gly	Ser 400	
Phe	Phe	Leu	Tyr	Ser 405	Arg	Leu	Thr	Val	Asp 410	Lys	Ser	Arg	Trp	Gln 415	Glu	
Gly	Asn	Val	Phe 420	Ser	Cys	Ser	Val	Met 425	His	Glu	Ala	Leu	His 430	Asn	His	
Tyr	Thr	Gln 435	Lys	Ser	Leu	Ser	Leu 440	Ser	Leu	Gly	Lys					
<21 <21	0> 5 1> 1 2> D 3> A	NA	icia	l Se	quen	ce										
<22 <22	0> 3> D	escr	ipti	on o	f Ar	tifi	cial	Seq	uenc	e: p	rime	r 1				
	0> 5 .cggt		cccc	ctg												17
<21 <21	.0> 6 .1> 3 .2> E	5 NA	icia	ıl Se	equer	ıce										
<22 <22	20> 23> E)escr	ripti	on c	of Ar	tifi	cial	L Sec	lueud	e: p	orime	r 2				
<4(cg	00> 6 gtcct	geg	acg	ggagg	gtg d	ctgaq	ggaaq	ga ga	atgg							35
<2 <2	10> 7 11> 4 12> 1 13> 1	15 DNA	ficia	al Se	equei	nce										
<2: <2:	20> 23>	Desc:	ript	ion	of A	rtif	icia	l Se	quen	ce: p	prim	er 3 _.				
<4 tc	00> ttcc	7 tcag	cac	ctcc	cgt	cgca	ggac	cg t	cagt	cttc	c tc	ttc			•	45
<2 <2	10> 11> 12> 13>	17 DNA	fici	al S	eque	nce										
<2 <2	20> 23>	Desc	ript	ion	of A	rtif	icia	l Se	quen	ce:	prim	er 4				
	00>		ctt	gtag	i											17



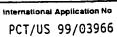
INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 99/03966

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 C07K19/00 //C0 //C07K14/52,C07K14/55,C07K14/705,C07K14/73 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC 6 C07K Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. REISFELD R A ET AL: "Recombinant antibody 14-26 fusion proteins for cancer immunotherapy. CURRENT TOPICS IN MICROBIOLOGY AND IMMUNOLOGY, (1996) 213 (PT 3) 27-53. REF: 67 JOURNAL CODE: DWQ. ISSN: 0070-217X., XP002107034 GERMANY: Germany, Federal Republic of see page 41 - page 48 14-26 HARVILL E T ET AL: "In vivo properties of Α an IgG3-IL-2 fusion protein. A general strategy for immune potentiation." JOURNAL OF IMMUNOLOGY, (1996 OCT 1) 157 (7) 3165-70. JOURNAL CODE: IFB. ISSN: 0022-1767., XP002107033 United States see page 3165 see page 3166, right-hand column -/--Patent family members are listed in annex. Further documents are listed in the continuation of box C. Χ * Special categories of cited documents : later document published after the international filing date or priority date and not in conflict with the application but oited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance 'E' earlier document but published on or after the international "X" document of particular relevance; the claimed inventi-cannot be considered novel or cannot be considered filing date involve an inventive step when the document is taken alone "L" document which may throw doubts on priority claim(s) or "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled which is cited to establish the publication date of another citation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or in the art document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of the actual completion of the international search Date of mailing of the fit anational 99 oh report 24 June 1999 **Authorized officer** Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijewijk Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, Mennessier, T Fax: (+31-70) 340-3016

2





(Continua	tion) DOCUMENTS CONSIDERED TO BE RELEVANT	Relevant to claim No.
ategory *	Citation of document, with indication, where appropriate, of the relevant passages	
	ZHENG, XIN XIAO ET AL: "Administration of noncytolytic IL-10/Fc in murine models of lipopolysaccharide-induced septic shock and allogeneic islet transplantation" J. IMMUNOL. (1995), 154(10), 5590-600 CODEN: JOIMA3;ISSN: 0022-1767, XP002082460 see page 5590 see page 5591, left-hand column; figure 1 see page 5593, left-hand column	14-26
A	GILLIES S D ET AL: "Biological activity and in vivo clearance of antitumor antibody/cytokine fusion proteins." BIOCONJUGATE CHEMISTRY, (1993 MAY-JUN) 4 (3) 230-5. JOURNAL CODE: AlT. ISSN: 1043-1802., XP002107032 United States see page 230 see page 232, right-hand column - page 233, left-hand column	14-26
A	WO 97 33617 A (PROTEIN DESIGN LABS INC ;QUEEN CARY L (US); SCHNEIDER WILLIAM P (U) 18 September 1997 see page 19 - page 23; examples 2-6 see page 4, line 29 - page 5, line 2 see page 7, line 1-15	14-26
A	WO 97 00319 A (SMITHKLINE BEECHAM PLC; BROWNE MICHAEL JOSEPH (GB); CHAPMAN CONRAD) 3 January 1997 see page 10 - page 12; example 1 see claim 9	14-26
T	GILLIES S D ET AL: "Improving the efficacy of antibody-interleukin 2 fusion proteins by reducing their interaction with Fc receptors." CANCER RESEARCH, (1999 MAY 1) 59 (9) 2159-66. JOURNAL CODE: CNF. ISSN: 0008-5472., XP002107035 United States see the whole document	14-26



International application No. PCT/US 99/03966

Box i O	oservations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)
This Interna	utional Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. CI	aims Nos.: cause they relate to subject matter not required to be searched by this Authority, namely:
	aims Nos.: $1-13$ scause they relate to parts of the international Application that do not comply with the prescribed requirements to such a sterit that no meaningful international Search can be carried out, specifically:
	ee FURTHER INFORMATION sheet PCT/ISA/210
3. <u> </u>	laims Nos.: scause they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II O	bservations where unity of invention is lacking (Continuation of Item 2 of first sheet)
This Intern	ational Searching Authority found multiple inventions in this international application, as follows:
	s all required additional search fees were timely paid by the applicant, this International Search Report covers all earchable claims.
	is all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment if any additional fee.
3. 🔲 🖁	As only some of the required additional search fees were timely paid by the applicant, this International Search Report lovers only those claims for which fees were paid, specifically claims Nos.:
4. 🗆	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is govered by claims Nos.:
Remark	on Protest The additional search fees were accompanied by the applicant's protest.
	No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

International Application No. PCT/US 99/03966

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Claims Nos.: 1-13

Claim 1 on which claims 1-12 are dependent is directed to an antibody based (Ab) fusion protein comprising an Ig-portion linked to a non-Ig protein portion and having a longer circulating half-life than the said non-Ig protein portion when unlinked.

It appears that this claimed subject-matter has no support in the description. No Ab fusion protein has been compared therein to its corresponding non-Ig protein portion as to their respective circulating half-lives (the only comparisons reported [see page 13, lines 1-3, and page 16, lines 8-9] concern modified Ab fusion proteins and their corresponding unmodified Ab fusion proteins).

Such a lack of support renders the subject-matter of claims 1-13 inconsistent with the content of the description.

The said inconsistent is such that carrying out a meaningful search with regard to the said claims has not been possible.

It is to be noted that even if the subject-matter of claims 1-13 would have been consistent with the content of the description, a meaningful search could not have been carried out as in the documents of the state of the art relating to Ab fusion proteins comprising an Ig-portion linked to a non-Ig protein portion (see the documents cited in the present report) the respective circulating half-lifes of both the Ab fusion protein and the non-Ig protein portion thereof measured in the same experimental conditions are not indicated, a circumstance which renders any comparison impossible.



INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No PCT/US 99/03966

Patent document cited in search report	1	Publication date		atent family nember(s)	Publication cate
WO 9733617	Α	18-09-1997	AU	2527397 A	01-10-1997
WO 9700319	Α	03-01-1997	AU CA EP	6011096 A 2224646 A 0832219 A	15-01-1997 03-01-1997 01-04-1998



This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

| BLACK BORDERS
| IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
| FADED TEXT OR DRAWING
| BLURRED OR ILLEGIBLE TEXT OR DRAWING
| SKEWED/SLANTED IMAGES
| COLOR OR BLACK AND WHITE PHOTOGRAPHS
| GRAY SCALE DOCUMENTS
| LINES OR MARKS ON ORIGINAL DOCUMENT
| REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

IMAGES ARE BEST AVAILABLE COPY.

☐ OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)